



Table of Fees for Services

A complete explanation of fees and services can be found in the firm’s Form ADV Part 2A. The information below contains important details about Charles Carroll Financial Partners advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request, and contractually accept the services listed. The fees below may not apply to all clients.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	\$0 - \$500,000 1.50% \$500,000 - \$1 MM 1.25% \$1 MM - \$2 MM 1.00% Over \$2 MM .75%	Quarterly in arrears	Investment Management for individuals and businesses
Hourly Fee	\$250.00	Hourly	Financial Planning Services
Subscription Fee	\$0	N/A	Not Applicable
Fixed Fee	\$0 - <\$250,000 \$3,750	Quarterly in arrears	Investment Management for individuals and businesses
Commissions to the Adviser	\$0	N/A	Not Applicable
Performance-based Fee	\$0	N/A	Not Applicable
Other			
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	\$0	N/A	Not Applicable
Robo-Adviser Fee	\$0	N/A	Not Applicable
Talk with your Adviser about fees and costs applicable to you			

MASSACHUSETTS TABLE OF FEES FOR SERVICES REQUIREMENT

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	NO	Custodian
Commissions	YES	Custodian or to the SEC as part of the Sale of Securities
Custodian Fees	NO	No Custodian Fees are being Assessed at the current time
Mark-ups	NO	Individual security purchases do not have markups. There is a spread inherent in all security purchases paid to the market maker not to Charles Carroll Financial Partners
Mutual Fund/ETF Fees and Expenses	YES	In some instances, Mutual Funds may be purchased that require the payment of a fee to the custodian or to the manager of the mutual fund. This fee is not paid to Charles Carroll Financial Partners. Mutual Funds and ETFs have intrinsic expenses known as management fees. These fees go to pay the manager of the mutual fund or ETF. None of these intrinsic fees go to compensate Charles Carroll Financial Partners.

Effective Date of this Table of Fees for Services – January 1st, 2020