



Charles Carroll

FINANCIAL PARTNERS

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PRIVACY POLICY

Charles Carroll Financial Partners, LLC (“CCFP”) as a registered investment adviser strives to protect the confidentiality and integrity of all customer information. As is the case with certain financial service providers, CCFP is required by law, on an annual basis, to inform its clients of policies adopted by CCFP regarding privacy of client information. Investment advisers have been and continue to be bound by professional standards of confidentiality that are as stringent, or more stringent, than minimum requirements imposed by law. CCFP diligently works to protect your right to privacy.

TYPES OF NONPUBLIC PERSONAL INFORMATION WE COLLECT

CCFP collects nonpublic personal information about you of a business nature that is either provided to us by you or obtained by us with your authorization. Types of personal information collected by CCFP include client names, addresses, tax identification numbers, dates of birth, and other specific investment information. Personal investment information includes information about your investment objectives and experience, income, net worth, and risk tolerance. Your personal information is treated as confidential by CCFP. Your personal information is never sold to third parties for any reason.

PARTIES TO WHOM WE MAY DISCLOSE INFORMATION

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law to governmental and regulatory authorities. Disclosures may include providing information to our employees and to direct service providers like accountants and third parties providing custodial and clearing functions. CCFP has taken steps to ensure that third party software vendors will not be given personal information that can be tied to specific clients and/or prospective clients. In all such situations, CCFP works to maintain the confidential nature of information being shared.

PROTECTING THE CONFIDENTIALITY AND SECURITY OF CURRENT AND FORMER CLIENTS’ INFORMATION

We also retain some historic records relating to professional services that we provide to better assist your financial needs and for professional guideline compliance purposes. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

Please call with questions about the handling of your private data.

Your privacy is very important to us